Express Mail Number: <u>EL896636889US</u>

Docket No. B-4235 618927-6

Date: <u>July 5, 2001</u>

Commissioner of Patents and Trademarks Box New Patent Application Washington, D.C. 20231

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s):

(1) Hisao FURUKAWA (2) Shoji MIYAGUCHI

Patent must be applied for in the name of all

of the actual inventor or inventors.

For: "INTEGRATED INFORMATION COMMUNICATION SYSTEM"

Enclosed are:

The Papers Required For Filing Date Under 37 CFR 1.53(b):

107 Pages of specification 1 Page of abstract 14 Pages of claims

<u>21</u> Sheets of drawings (Figs. 1-29)

[X] formal

[] informal

In addition to the above papers there is also attached

Postcard

Check for filing fee in the amount of \$533.00

Declaration/Power of Attorney (4 pages)

Verified Statement -- Small Business Concern:

The Distribution Systems Research Institute (2 pages)

Verified Statement--Small Business Concern:

Miyaguchi Research Co., Ltd. (2 pages)

Claim to Priority (1 page) with Certified Copy of

Japanese Application No. 2001-138298 and Japanese Application No. 2000-211451

Assignment Cover Sheet (1 page), Assignment document (3 pages),

and Check for \$40.00

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date July 5, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL896636889US</u> addressed to the:

> Commissioner of Patents and Trademarks Box New Patent Application Washington, D.C. 20231

> > Cheryl Liang

(Typed or printed name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

Application transmittal - Page 1 of 3

2. Declaration or oath

[X] Enclosed

[X] original

[] facsimile

executed by:

- [X] inventor(s)
- [] legal representative of inventor(s) 37 CFR 1.42 or 1.43
- [] joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.
 - [] petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.
- [] Not Enclosed
 - [] Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.
 - [] Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration <u>must</u> be filed.

3 Assignment

[X] An assignment of the invention to (1) The Distribution Systems Research Institute (2) Miyaguchi Research Co., Ltd.

(with separate cover sheet and separate check for \$40.00)

4. Certified Copy

[X] Certified copies of Japanese Patent Application No. 2001-138298 (filed May 9, 2001) and Japanese Patent Application No. 2000-211451 (filed July 12, 2000), from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

	CLAIMS	AS	FILED		
Number Filed			Number Extra	Rate	Basic Fee \$ 710.00
Total Claims 22	-20=		2 x	\$ 18.00	36.00
Independent Claims 7	-3=		4 x	\$ 80.00	320.00
Multiple Dependent Claim(s),	If Any		0 x	\$270.00	0

- [] Amendment canceling extra claims enclosed
- [] Amendment deleting multiple dependencies enclosed
- [] Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, 37 CFR 1.16(d).

Filing Fee Calculation \$1,066.00

6. Small Entity Statement

[X] Verified statements that this is a filing by a small entity under $37\ \text{CFR}\ 1.9$ and 1.27.

Filing Fee Calculation (50% of above) \$ 533.00

If a verified statement is filed within 2 months of the date NOTE: of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

7. Fee Payment Being Made At This Time

- [] Not Enclosed
- [] No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

Where the filing is a completion in the U.S. of an international NOTE: application the fee must be paid.

[X] Enclosed

[X]	filing	fee		\$ 533.00

[] recording assignment (\$40.00; 37 CFR 1.21(h)(i))

[] recording assignment (\$40.00; 37 CFR 1.2]

[] petition fee for find all the inventors of the inventor when the to sign or cannot be a sign or c [] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. 37 CFR 1.47 and 1.17 (h)

\$ 533.00 Total fees enclosed

- [X] check in the amount of \$\\\ 533.00
- [] charge account No. $\underline{12-0415}$ in the amount of \$_ A duplicate of this transmittal is attached.

NOTE: Fees should be itemized is such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

9. Authorization to Charge Additional fees

- [X] The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:
 - [X] 37 CFR 1.16 (filing fees and presentation of extra claims)
 - [X] 37 CFR 1.17 (application processing fees)
 - [] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

37 CFR 1.28(b) requires "Notification of any change in loss of NOTE: entitlement to small entity status must be filed in the application...prior to paying... issue fee".

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10. Instructions As To Overpayment

[X] Credit Account No. <u>12-0415</u> [] refund

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